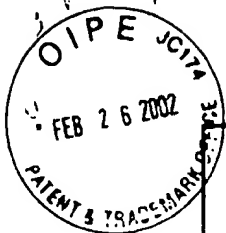


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Information Disclosure Statement – PTO 1449 (Modified) Sheet 1 of 1

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Sheet 1 of 2

<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET</b> NO.: 42666.0101.28972	<b>SERIAL NO.:</b> 09/993,072
	<b>APPLICANT:</b> AppIntell, Inc.	
	<b>Filing Date:</b> 11/03/01	<b>Group:</b> Not yet assigned

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
EA	6 0 8 8 6 8 6	07/11/00	Walker et al.	705	38	
EA	6 1 1 9 1 0 3	09/12/00	Basch et al.	705	35	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
						Yes No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial	DOCUMENT
EA	"Electronic Loan Review" by Affinity Corporation, web page located at <a href="http://www.teamaffinity.com/content/lenders/elr.asp">http://www.teamaffinity.com/content/lenders/elr.asp</a>
	"FraudGuard.com" by Affinity Corporation, web page located at <a href="http://www.fraudguard.com/default.asp">http://www.fraudguard.com/default.asp</a>
	"Safecheck" by Affinity Corporation, web page located at <a href="http://www.teamaffinity.com/content/lenders/safecheck.asp">http://www.teamaffinity.com/content/lenders/safecheck.asp</a>
	"Bankruptcy Search" by Affinity Corporation, web page located at <a href="http://www.teamaffinity.com/content/lenders/bankruptcy_search.asp">http://www.teamaffinity.com/content/lenders/bankruptcy_search.asp</a>
	"Address Validation" by Affinity Corporation, web page located at <a href="http://www.teamaffinity.com/content/lenders/address_validation.asp">http://www.teamaffinity.com/content/lenders/address_validation.asp</a>
	"National Phone Directory" by Affinity Corporation, web page located at <a href="http://www.teamaffinity.com/content/lenders/national_phone_dir.asp">http://www.teamaffinity.com/content/lenders/national_phone_dir.asp</a>
	"Pre-Funding Comprehensive Screening Audit" by Affinity Corporation, web page located at <a href="http://www.teamaffinity.com/content/lenders/screening.asp">http://www.teamaffinity.com/content/lenders/screening.asp</a>
EA	"Directed Audit Services" by Affinity Corporation, web page located at



<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.:</b> 42666.0101.28972	<b>SERIAL NO.:</b> 09/993,072
	<b>APPLICANT:</b> AppIntell, Inc.	
	<b>Filing Date:</b> 11/03/01	<b>Group:</b> Not yet assigned

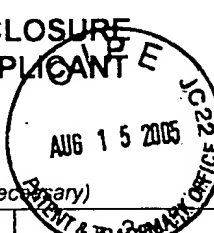
	<a href="http://www.teamaffinity.com/content/lenders/audit_services.asp">http://www.teamaffinity.com/content/lenders/audit_services.asp</a>
	"Asset Search" by Affinity Corporation, web page located at <a href="http://www.teamaffinity.com/content/lenders/asset_search.asp">http://www.teamaffinity.com/content/lenders/asset_search.asp</a>
	"Fraud Guard" brochure by Affinity Corporation (4 pages)

<b>EXAMINER:</b>	<b>DATE CONSIDERED</b>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

(Form PTO-1449)

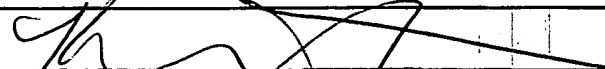
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Substitute for form 1449A/PTO			<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	09/993,072
			Filing Date	11/13/2001
			First Named Inventor	Steven Halper
			Art Unit	3628
			Examiner Name	Graham, Clement
Sheet 1 of		Attorney Docket Number	42666/28972	

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
KA		US- 2001/0047326	11-29-2001	Broadbent et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature		Date Considered	7/3/06
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<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

2

2

**Complete if Known**

Application Number

09/993.072

Filing Date

11/13/2001

First Named Inventor

Steven Halper

### Art Unit

3628

Examiner Name

Graham Clement

Attorney Docket Number

42666/28972

## NON PATENT LITERATURE DOCUMENTS

Examiner  
Initials

Cite  
No. <sup>1</sup>

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

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Examiner  
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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